

Abstract for Application number 09 / 923,314

The invention is unique because it enables staff , most often insiders , who want to trade in stock exchange listed securities to check whether their intended transaction is compliant with regulator's legal rules and with internal company regulations before the transaction is really executed.

An unambiguous Yes or No will be provided regarding the question whether the intended transaction is compliant with the mentioned rules. Our computer application gives these answers and is based on a rules engine that combines organisational hierarchy, internal daily work flows of the staff involved, real time stock exchange quotes and real-time news into a positive or negative answer. Next to the synchronisation in time of the above items it also provides a positive or negative answer before a trade is executed.



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